

Office of the Public Sector Integrity Commissioner 2018/2019 Audit and Evaluation Committee Annual Plan

| Committee Action | Purpose | Frequency | Apr 2018 | July 2018 | Nov 2018 | Remarks |
|---|----------------|--------------------|-----------------|------------------|-----------------|--|
| Audit Committee Charter | Review | Bi-Annually | | | | N/A - done last year |
| Audit Committee Annual Plan | Approve | Annually | x | | | |
| Audit Committee Schedule | Approve | Annually | x | | | |
| Audit Committee Competency Profile | Review | As required | | x | | |
| Training/Orientation | Information | As required | | | x | DAC Symposium |
| Internal Audit and Evaluation Oversight Responsibilities | | | | | | |
| Internal Audit Policy | Recommend | See remarks | | | | Follows TB Policy |
| Audits and Evaluations | Recommend | See remarks | | x | x | Results - July LAR, November H.R. |
| Risk-based internal audit plan | Review | Annually | x | | | |
| Adequacy of internal audit resources | Review | Annually | x | | | |
| Performance of Chief Audit Executive | Advise | Annually | x | | | |
| Performance of internal audit function | Advise | Annually | x | | | |
| Management Action Plans | Review | Ongoing | | x | x | Create spreadsheet with status |
| Annual Responsibilities | | | | | | |
| Corporate Values and Ethics (Code, practices, COI) | Review | Annually | x | | x | |
| Corporate risk profile | Review | Annually | x | | | |
| Reports on PSIC by others | Review | Ongoing | x | x | x | OL, OAG, OIC, Privacy, etc. |
| PSIC Quarterly Financial Statements | Review | Quarterly | | | | Done offline via email |
| Public Accounts | Information | Annually | | x | | |
| Departmental Plan | Information | See remarks | x | | | |
| Departmental Results Report | Information | See remarks | | | x | Consultation in September |
| Commissioner's Annual Report to Parliament | Information | See remarks | | x | | |
| Committee Assessment | | | | | | |
| Committee Self-Assessment | Carry-out | Annually | x | | | |
| External Assessment | Undergo | As required | | | | |
| Accountability Reporting | | | | | | |
| AEC Annual Report | Prepare | Annually | | x | | |
| In Camera Meetings | | | | | | |
| With Commissioner, CAE, CFO | Discussion | Each meeting | x | x | x | |
| With OAG | Discussion | Annually | x | x | x | |
| AEC Members | Discussion | Each meeting | x | x | x | |
| Pre-Meeting Working Session | Prepare | As required | x | | | Generally first meeting of year |